



PATENT

Case Docket No. WEBSSEN.030A

Date: July 15, 1004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9
LDS
7-29-04

Applicants : Hegli, et al.
Appl. No. : 10/010,282
Filed : December 5, 2001
For : FILTERING TECHNIQUES
FOR MANAGING ACCESS TO
INTERNET SITES OR OTHER
SOFTWARE APPLICATIONS
Examiner : Hosain T. Alam
Group Art Unit : 2155

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 15, 2004

(Date)

James F. Herkenhoff, Reg. No. 51,241

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Technology Center 2100

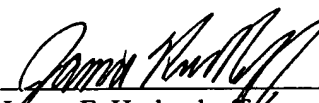
TRANSMITTAL LETTER

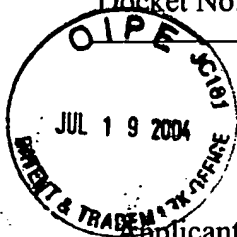
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with seven (7) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.


James F. Herkenhoff
Registration No. 51,241
Attorney of Record
Customer No. 20,995
(619) 235-8550



INFORMATION DISCLOSURE STATEMENT

#9

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing seven (7) references that are also enclosed.

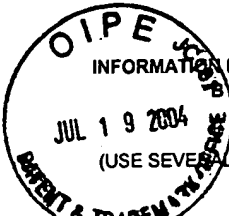
This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 7/15/04By: James F. Herkenhoff

James F. Herkenhoff
Registration No. 51,241
Attorney of Record
Customer No. 20,995
(619) 235-8550

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| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. WEBSEN.030A | APPLICATION NO. 10/010,282 |
|  <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)</p> | | APPLICANT Hegli, et al. #9 | |
| | | FILING DATE December 5, 2001 | GROUP 2155 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
|---------------------|-----------------|------|------|-------|----------|---------------------------------|
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|---|-----------------|----------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | 1 | EP 0 748 095 | 12/11/96 | EPO | | | | |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | |
|---------------------|--|---|
| | 2 | Supplementary European Search Report for EPO App. No. 00 90 7078, mailed May 18, 2004 |
| | 3 | Roberts-Witt, S., The 1999 Utility Guide: Corporate Filtering, PC Magazine Online, 04/05/99, pp 1-11 |
| | 4 | Sequel Technology, Inc., Sequel and Surfwatch Partner to Provide Innovative Internet Resource Management Tools for Large Enterprises, Internet, Online!, 2/25/99, pp. 1-3 |
| | 5 | SurfWatch Software, SurfWatch® Professional Edition: Product Overview, Internet, Online!, 5/26/99, p. 1 |
| | 6 | Newman, H., A Look at Some Popular Filtering Systems, Internet, Online!, 07/25/99, pp. 1-11 |
| | 7 | Secure Computing Corporation, SmartFilter™ Web Tool, 12/1/98, pp. 1-2 |

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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| <p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p> | |